

PLEDGE REQUEST SAMPLE FORM

SAMCO SECURITIES LIMITED

Guide to Fill Pledge Request Form (PRF)

This form is used to create or confirm a pledge of your securities (shares) with Samco. Please follow the steps carefully.

Step 1: Select Type of Request

- Tick Setup of Pledge (for creating pledge)
OR
- Tick Confirmation of Pledge (if confirming an existing pledge).

Step 2: Enter Pledgor's (Your) Details

- DP ID & Client ID (BO ID) → You need to fill this. It is a 16-digit number:
 - First 8 digits = DP ID
 - Last 8 digits = Client ID (BO ID) You can find this in your demat account details.
- Name → Write your full name (e.g., Drashti Bhatu).

Step 3: Enter Pledgee's (Lender's) Details

- DP ID → Enter as per lender details.
- Client ID → Enter lender's ID.
- Name → Write pledgee's name (e.g., Kotak Mahindra Bank).

Step 4: Nature of Securities

- Tick the correct option:
 - Free Securities (if shares are free to pledge)
 - Locked-in Securities (if already under lock-in).

Step 5: Details of Securities Offered for Pledge

Fill the table carefully:

- Sr. No. → 1, 2, 3...
- ISIN → Write the 12-digit ISIN number (from your Demat statement).
- Company Name → Write the company's name (e.g., Dixon).
- Quantity → No. of shares you are pledging.
- Date of Release (lock-in) → Leave it blank
- PSN → System will generate (leave blank).
- Accepted/Rejected → Leave blank (filled by DP).
- Pledged Value → Leave it blank.

Step 6: Pledge Execution Details

Please Note :

- Execution Date → Will be filled by Samco.
- Total Pledge Value (Rs.) → Will be filled by Samco.

You need to fill:

- Agreement No. → Enter agreement/reference number provided by pledgee.
- Date of Pledging → Enter the date when you are submitting the form.
- Pledge Reason → Tick the correct purpose (e.g., Collateral for Self Loan).

Step 7: What you need to do on Page 2

- This page mainly contains details to be filled by the Depository Participant (DP).
- As a client, your role on this page is only to provide your signature.

Sign under the section "Signature of the Pledgor."

- Single holder → Only the Sole/First Applicant signs.
- Joint account holder → All holders (First, Second, Third) must sign in their respective boxes.

Documents to Send:

You only need to send the Pledge Request Form (PRF) to the below Samco address:

Samco Securities Limited
A-1004, 10th Floor, A Wing, Naman Midtown,
Senapati Bapat Marg, Prabhadevi (West),
Mumbai – 400 013, Maharashtra, India

☐ Setup of Pledge ☐ Confirmation of Pledge ☐ Rejection of Pledge

Depository Participant Name /Address

Please fill all the details in **Block Letters** in English

PRF No.		Date	D	O	M	M	Y	Y	Y	Y
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I/We request you to **create the pledge / confirm the creation of pledge** for the following securities. I/We have read and understood the Depositories Act, SEBI Regulations and the By Laws in relation to pledge of securities and I/We agree to abide by and be bound by the Act, Regulations and the By Laws as are in force from time to time for such pledges.

Details of Securities: ☒ Free Securities ☐ Locked-in Securities

Details of Securities offered for Pledge.

Sr. no	ISIN	Company Name	Quantity	Date of Release (lock-in)	PSN (System Generated)	Accepted / Rejected by Pledgee	Pledged value
	IN123B45678	DIXON	2				

Attach an annexure duly signed by the account holder(s), if the space above is insufficient.

Pledge Date	Execution	D	D	M	M	Y	Y	Y	Y
Pledge Expiry Date		0	6	0	9	2	0	3	0
Total Pledge Value (Rs.)									
Agreement No.	1234567891								
Date of Pledging		D	D	M	M	Y	Y	Y	Y
Pledge Reason [tick mark whichever is applicable]	Collateral-Debt I issuance by Co./Grp Co. ☐	Collateral for loan by Company/Group Co ☐	Collateral for loan by the Third Party ☐	Margin Pledge/MTF for Exchange Trade ☐	Personal use by promoters and PACs ☐	Collateral for Self loan ☒			
PAN of the Ultimate Lender :									

Note : As a pledgor and pledgee, We are aware that the pledge recorded in the system only prohibits the Pledgor from dealing with securities until redemption/maturity/expiry date of the securities pledged and that the records of pledged securities may be removed from the system as a result of redemption/maturity/expiry of the securities.

Annexure 8.1

Pledge Rejection Details	Pledge Rejection by Pledgor DP	Pledge Rejection by Pledgee DP
Date of Rejection of Pledge		
Pledge Reason [tick mark whichever is applicable]	☐ 01 Units not available for Amount requested. ☐ 02 Closure Date not accepted. ☐ 03 Pledged Quantity not accepted. ☐ 04 ISIN not accepted. ☐ 05 Security details not acceptable. ☐ 06 ISIN delisted from Trading. ☐ 07 POA not received from all holders. ☐ 08 Holders not acceptable to the pledgee. ☐ 09 Agreement no. differs from that on the agreement. ☐ 10 Others. ☐ 11 Transfer Quantity not accepted. ☐ 12 Violation of terms of agreement. ☐ 13 Closure Quantity not accepted. ☐ 14 Pledged amount/margin not paid. ☐ 15 Market value of the pledged ISIN is insufficient.	☐ 01 Units not available for Amount requested. ☐ 02 Closure Date not accepted. ☐ 03 Pledged Quantity not accepted. ☐ 04 ISIN not accepted. ☐ 05 Security details not acceptable. ☐ 06 ISIN delisted from Trading. ☐ 07 POA not received from all holders. ☐ 08 Holders not acceptable to the pledgee. ☐ 09 Agreement no. differs from that on the agreement. ☐ 10 Others. ☐ 11 Transfer Quantity not accepted. ☐ 12 Violation of terms of agreement. ☐ 13 Closure Quantity not accepted. ☐ 14 Pledged amount/margin not paid. ☐ 15 Market value of the pledged ISIN is insufficient.

To be filled and signed in case of Set-up/ Rejection of Pledge by Pledgor BO

Signature of the Pledgor		
First/ Sole Applicant	Second Applicant	Third Applicant
		

To be filled in case of acceptance/Rejection of Pledge by Pledgee BO

Signature of Pledgee(s) *		
First Holder	Second Holder	Third Holder

* If the Pledgee is a bank DP, the signature of the pledgee need not be taken on the Pledge request form.
Depository Participant Seal and Signature