

**PLEDGE REQUEST FORM (PRF)**
 Setup of Pledge    Confirmation of Pledge    Rejection of Pledge

<b>SAMCO SECURITIES LIMITED</b> 1004-A, 10th FLOOR, NAMAN MIDTOWN A WING, SENAPATI BAPAT MARG, ELPHINSTONE ROAD, MUMBAI- 400 013 DEPOSITORY PARTICIPANT – CENTRAL DEPOSITORY SERVICES LTD DP ID: 12054200 SEBI REGN NO. INDP-CDSL- 443-2008
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**Depository Participant Name /Address**

 Please fill all the details in **Block Letters** in English

PRF No.		Date	D	D	M	M	Y	Y	Y	Y
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I/We request you to **create the pledge / confirm the creation of pledge** for the following securities. I/We have read and understood the Depositories Act, SEBI Regulations and the By Laws in relation to pledge of securities and I/We agree to abide by and be bound by the Act, Regulations and the By Laws as are in force from time to time for such pledges.

Pledgor's Details	
DP ID	
Client ID	
Pledgor's Name	1. 2. 3.

Pledgee's Details	
DP ID	
Client ID	
Pledgee's Name	1. 2. 3.

**Details of Securities:**    Free Securities    Locked-in Securities

**Details of Securities offered for Pledge.**

Sr. no	ISIN	Company Name	Quantity	Date of Release (lock-in)	PSN (System Generated)	Accepted / Rejected by Pledgee	Pledged value

Attach an annexure duly signed by the account holder(s), if the space above is insufficient.

<b>Pledge Execution Date</b>	D	D	M	M	Y	Y	Y	Y
Pledge Expiry Date	D	D	M	M	Y	Y	Y	Y
Total Pledge Value (Rs.)								
Agreement No.								
Date of Pledging	D	D	M	M	Y	Y	Y	Y
Pledge Reason [tick mark whichever is applicable]	Collateral-Debt I issuance by Co./Grp Co.	Collateral for loan by Company/ Group Co	Collateral for loan by the Third Party	Margin Pledge/MTF for Exchange Trade	Personal use by promoters and PACs	Collateral for Self loan		
	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
<b>PAN of the Ultimate Lender :</b>								

*Note : As a pledgor and pledgee, We are aware that the pledge recorded in the system only prohibits the Pledgor from dealing with securities until redemption/maturity/expiry date of the securities pledged and that the records of pledged securities may be removed from the system as a result of redemption/maturity/expiry of the securities.*

**Annexure 8.1**

Pledge Rejection Details	Pledge Rejection by Pledgor DP	Pledge Rejection by Pledgee DP
Date of Rejection of Pledge		
Pledge Reason [tick mark whichever is applicable ]	<input type="checkbox"/> 01 Units not available for Amount requested. <input type="checkbox"/> 02 Closure Date not accepted. <input type="checkbox"/> 03 Pledged Quantity not accepted. <input type="checkbox"/> 04 ISIN not accepted. <input type="checkbox"/> 05 Security details not acceptable. <input type="checkbox"/> 06 ISIN delisted from Trading. <input type="checkbox"/> 07 POA not received from all holders. <input type="checkbox"/> 08 Holders not acceptable to the pledgee. <input type="checkbox"/> 09 Agreement no. differs from that on the agreement. <input type="checkbox"/> 10 Others. <input type="checkbox"/> 11 Transfer Quantity not accepted. <input type="checkbox"/> 12 Violation of terms of agreement. <input type="checkbox"/> 13 Closure Quantity not accepted. <input type="checkbox"/> 14 Pledged amount/margin not paid. <input type="checkbox"/> 15 Market value of the pledged ISIN is insufficient.	<input type="checkbox"/> 01 Units not available for Amount requested. <input type="checkbox"/> 02 Closure Date not accepted. <input type="checkbox"/> 03 Pledged Quantity not accepted. <input type="checkbox"/> 04 ISIN not accepted. <input type="checkbox"/> 05 Security details not acceptable. <input type="checkbox"/> 06 ISIN delisted from Trading. <input type="checkbox"/> 07 POA not received from all holders. <input type="checkbox"/> 08 Holders not acceptable to the pledgee. <input type="checkbox"/> 09 Agreement no. differs from that on the agreement. <input type="checkbox"/> 10 Others. <input type="checkbox"/> 11 Transfer Quantity not accepted. <input type="checkbox"/> 12 Violation of terms of agreement. <input type="checkbox"/> 13 Closure Quantity not accepted. <input type="checkbox"/> 14 Pledged amount/margin not paid. <input type="checkbox"/> 15 Market value of the pledged ISIN is insufficient.

**To be filled and signed in case of Set-up/ Rejection of Pledge by Pledgor BO**

Signature of the Pledgor		
First/ Sole Applicant	Second Applicant	Third Applicant

**To be filled in case of acceptance/Rejection of Pledge by Pledgee BO**

Signature of Pledgee(s) *		
First Holder	Second Holder	Third Holder

\* If the Pledgee is a bank DP, the signature of the pledgee need not be taken on the Pledge request form.  
 Depository Participant Seal and Signature

**UNDERTAKING**

- The pledgee undertakes to provide reasonable notice to the pledger and comply with the requirements of Sections 176 and 177 of the Indian Contract Act, 1872.
- The pledger and pledgee undertake to abide with the provisions of the Indian Contract Act, 1872, the Depositories Act, SEBI Regulations, circulars, and bye-laws in force from time to time, as may be applicable.

	Pledgor			Pledgee		
<b>BOID</b>						
<b>Account Holder</b>	<b>Sole/First Holder</b>	<b>Second Holder</b>	<b>Third Holder</b>	<b>Sole/First Holder</b>	<b>Second Holder</b>	<b>Third Holder</b>
<b>Signature</b>						