

Disclaimer Document

SAMCO SECURITIES LIMITED

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Risk Disclosures on Mutual Funds

- Top-rated funds are not investment advice. Research is provided by Morningstar. Please read all offer documents carefully before investing. Samco Securities Limited is not responsible for any losses from your investments.
- These are not exchange-traded products. Samco Securities Limited is only acting as a distributor. Any disputes related to distribution cannot be resolved through the Exchange's investor grievance or arbitration process.

Unauthorised Trading

Prevent unauthorised transactions in your Demat account by updating your mobile number with your depository participant. Receive alerts on your registered mobile number for debit and other important transactions in your Demat account directly from CDSL on the same day. Prevent unauthorised transactions in your Trading account by updating your mobile numbers/email addresses with your stock brokers. Receive information on your transactions directly from the Exchange on your mobile/email at the end of the day. Issued in the interest of investors. KYC is a one-time exercise while dealing in securities markets - once KYC is done through a SEBI-registered intermediary (Broker, DP, Mutual Fund, etc.), you need not undergo the same process again when you approach another intermediary. As a business, we don't give stock tips and have not authorised anyone to trade on behalf of others. If you find anyone claiming to be part of Samco Securities Limited offering such services, please send us an email at compliance@samco.in.

Risk Disclosures on IPOs

Investors don't need to issue cheques for IPO applications. Just mention your bank account number and sign the form to authorize your bank to make the payment, if you did not get an allotment, no need to worry about refunds—the money stays in your account until then and unblock by the bank as per define process.

Third Party Product Disclosures

- Third-party products made available via this platform are not traded on any recognized stock exchange. The broker merely acts as a distributor and is not responsible for the performance or delivery of these products.
- Disputes or grievances related to such products will not be addressed under the SEBI SCORES platform, Stock Exchange investor grievance redressal mechanisms, or Arbitration processes applicable to Exchange-traded securities.
- Clients are free to choose whether or not to avail themselves of third-party products. There is no obligation or coercion of any kind to subscribe to or use these offerings. No employee, associate, or agent of the broker is authorized to influence client decisions regarding third-party products.

Risk Disclosures on Pledge of Securities

- Stockbrokers can accept securities as margin from their clients only by way of a pledge in the depository system w.e.f. 1st September 2020.
- Update your email ID and mobile number with your stockbroker/depository participant and receive an OTP directly from the depository on your registered email ID and/or mobile number to create a pledge.
- Check your securities/mutual funds/bonds in the Consolidated Account Statement (CAS) issued by NSDL/CDSL every month.

Risk Disclosures on Derivatives

- 9 out of 10 individual traders in the equity Futures and Options Segment, incurred net losses. On an average, loss makers registered net trading loss close to ₹ 50,000 Over and above the net trading losses incurred, loss makers expended an additional 28% of net trading losses as transaction costs.
- Those making net trading profits, incurred between 15% to 50% of such profits as transaction cost.

[SEBI study dated January 25, 2023 on "Analysis of Profit and Loss of Individual Traders dealing in equity Futures and Options \(F&O\) Segment"](#), wherein Aggregate Level findings are based on annual Profit/Loss incurred by individual traders in equity F&O during FY 2021-22